Thank you for your interest in working with Eastman Cooke. This form is part of our subcontractor vetting process, which we require for all subcontractors with whom we have not previously worked. In order to be eligible to be awarded a contract, the subcontractor must demonstrate that they can meet our insurance requirements. Once the insurance requirements are received, we will review your information.

Please ask the person in your office who is responsible for obtaining insurance to complete this form. We have attached a sample insurance certificate that is NOT project-specific.

If awarded the project, you will receive a project-specific sample:

• SUB Sample - Certificate of Ins-(Rev 4-14)

Certificate(s) of Insurance with Eastman, Cooke & Associates, LLC as the certificate holder:

- General Liability
- Automobile Liability
- Umbrella
- Pollution Liability
- Workers Comp

Additionally, the following General Liability endorsements (with the policy number on the forms) are required:

- 1. additionally insured for Ongoing Operations: [form CG 2010 (11/85), CG2033 or equivalent]
- 2. additionally insured for Completed Operations: [form CG 2037 or equivalent]
- 3. Primary & Noncontributory: [form CG 2001 or equivalent]
- 4. Waiver of Subrogation [against additional insured parties] [form CG 2404 or equivalent]

Please forward this letter to your broker for follow-up.

We await your response.

Regards, Eastman Cooke & Associates

SAMPLE INSURANCE CERTIFICATE FOR EASTMAN COOKE

Certificate of Insurance Issue Date (MM/DD/YY)							
PRODUCER		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.					
	INSURERS AFFORDING COVERAGE						
INSURED	INSURER A INSURER B						
Subcontractor's/Seller's Name		INSURER	С				
		INSURER INSURER	D E				
COVERAGES		INSUKEK	Е				
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.							
CO LTR TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	1	POLICY EXPIRATION DATE MM/DD/YY)		Lin	nits
GENERAL LIABILITY	POLICY NUMBER						• • • • • • • •
X COMMERCIAL GENERAL LIABILITY CLAIMS MADE X OCCUR.	PER PROJECT AGGREGATE ENDORSEMENT 50' RAILROAD EXCLUSION				PRODUCTS-COMP/OP AGG.	\$ \$	2,000,000 4.000.000
OWNER'S & CONTRACTOR'S PROT.	ELIMINATED				PERSONAL & ADV INJURY	\$	2,000,000
X ISO FORM CG0001 X CONTRACT'L LIAB.	(10/01 & PRIOR OR EQUIVALENT)				GENERAL AGGREGATE	\$ \$	4,000,000 300,000
GEN'L AGGREGATE LIMIT APPLIES PER: POL- PRO-					FIRE DAMAGE (Any one fire) MED. EXPENSE (Any one person)	\$	5,000
AUTOMOBILE LIABILITY	POLICY NUMBER				COMBINED SINGLE LIMIT	\$	1,000,000
X ANY AUTO ALL OWNED AUTOS					BODILY INJURY (Per person)	\$	
SCHEDULED AUTOS X HIRED AUTOS					BODILY INJURY (Per accident)	\$	
X NON-OWNED AUTOS							
GARAGE EXCESS LIABILITY					PROPERTY DAMAGE	\$	
X UMBRELLA FORM	POLICY NUMBER PER PROJECT ENDORSEMENT				EACH OCCURRENCE		5,000,000
OTHER THAN UMBRELLA	INCLUDED				AGGREGATE	\$	5,000,000
FORM POLLUTION	DOLLOW NUMBER						
LIABILITY	POLICY NUMBER				EACH OCCURRENCE	\$	2,000,000
					PRODUCTS- COMP/OP AGG.	\$	2,000,000
WORKERS' COMPENSATION	POLICY NUMBER				X STATUTORY LIMITS		
AND EMPLOYER'S LIABILITY	COVERAGE APPLIES IN STATE				EACH ACCIDENT DISEASE-POLICY LIMIT	\$ \$	1,000,000
X INCL.	OF JOBSITE OPERATION UNDER THIS SUBCONTRACT USL&H COVERAGE IS				DISEASE-EACH		
THE PROPRIETOR, PARTNERS, EXECUTIVE OFFICERS ARE: OTHER EXCL.	INCLUDED WHERE NEEDED				EMPLOYEE	\$ *EXCEPT WHE	1,000,000 ERE UNLIMITED
The coverages provided shall be pursuant to insurance requirements contained in the Subcontract SEE ATTACHED DESCRIPTIONS.							
DESCRIPTION OF OPERATIONS//LOCATIONS/VEHICLES/SPECIAL ITEMS All operations performed under Eastman Cooke. General Liability & Umbrella policies include full contractual liability coverage							
and is broad enough to cover all operations performed under Job #: xxxxx - job name - address between Eastman, Cooke, &							
Associates, LLC and (name of subcontractor)							
Eastman, Cooke, & Associates, LLC and XXXXXXXXX and their affiliates, subsidiaries, directors, officers, employees, agents,							
representatives and, managers, members, owners and subsidiaries are included as additional insured. Additional insured status							
applies to both operations and completed operations and to general liability, auto liability, employers liability and umbrella							
liability policies. All policies are primary and non-contributory (not requiring contribution from any other policies available to the additional insured's). All policies listed include a waiver of subrogation to the benefit of all additional insured's. No insurance							
will be cancelled or materially changed without (30) days prior writtern notice to the Certificate Holder							
CERTIFICATE HOLDER CANCELLATION							
Eastman, Cooke & Associates, LLC 5 Hanover Square		HOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED ** BEFORE THE XPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 60 DAYS VRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT. BUT FAILURE TO MAIL UCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE OMPANY ITS AGENTS OR REPRESENTATIVES.					
22nd Floor							
New York, NY 10004		AUTHORIZED REPRESENTATIVE					

**NON-RENEWED OR MATERIALLY CHANGED

SAMPLE INSURANCE CERTIFICATE FOR EASTMAN COOKE

DESCRIPTION (Continued from Previous Page)

Additional Insureds: The following are included as Additional Insureds as respects General Liability, (both ongoing and completed operations), Auto and Umbrella Policies: the Owner, XXXXXXXXX and their affiliates, directors, officers, employees, agents, representatives and, managers, members, owners and subsidiaries. Additional insured for "on-going" operations endorsement CG2010 (11/85) or its equivalent (CG2033), and additional insured for "completed operations" endorsement (CG2037) must be used to provide for both "ongoing" and "completed operations". Endorsements being used must be listed and attached to the certificate.

<u>Primary Insurance</u>: As respects General Liability, Business Automobile and Umbrella Liability, policies have been endorsed and stipulate that this insurance is primary, for all additional insureds; and any other insurance or self-insurance maintained by Eastman, Cooke & Associates, LLC and any other additional Insured et al, shall be excess only and shall not be called upon to contribute with this insurance.

With respect to the Excess Liability Insurance, the following policies are scheduled as primary: Commercial General Liability, Automobile Liability, and Employers Liability.

Waiver of Subrogation: As respects General Liability, Business Automobile, Workers Compensation, and Umbrella Liability, (Sub Contractors Name), it's owners, directors, officers, employees, affiliates and all its insurers agree to waive their rights of recovery (subrogation) against all additional insured's including Eastman, Cooke & Associates, LLC and its directors, officers, employees, subsidiaries and affiliates.

<u>Cancellation Clause</u>: All policies have been endorsed to provide 60 days prior written notice of cancellation, material change and/or non-renewal by certified mail; return receipt requested, to Eastman, Cooke & Associates, LLC, 5 Hanover Square, Floor 22, New York, NY 10004.

** NO PAYMENTS WILL BE MADE WITHOUT PROPER CERTIFICATES OF INSURANCE**
YOU MUST PROVIDE ALL ENDORSEMENTS AND WAIVER OF SUBROGATION
REQUESTED ON THIS PAGE